

FORM PTO-1449 (Modified)	ATTY. DOCKET NO.	SERIAL NO.
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	14918-720 CON2 402E-476112 US	09/401,544 Herewith 10/082747
	APPLICANT Marcus D. Ballinger et al.	
	FILING DATE July 17, 1998 Feb. 22, 2002	GROUP ART UNIT 1646

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAM'R INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	Subclass	Filing Date if Appropriate
DG	A1	4,968,603	11/06/90	Slamon et al.	435	6	
DG	A2	5,183,884	02/02/93	Kraus et al.	536	23.5	
DG	A3	5,367,060	11/22/94	Vandlen et al.	530	399	
	A4						
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FOREIGN PATENT DOCUMENTS

EXAM'R INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	Subclass	TRANSLATN	
							yes	no
DG	B1	444,961 A1	9/4/91	Europe				
	B2	505,148	9/23/92	Europe				
	B3	599,274	6/1/94	Europe				
	B4	WO 92/18627	10/29/92	PCT				

OTHER ART (Include Author, Title, Date, Pertinent Pages, etc.)

DG	C1	Ayala et al., "Multiple Alleles," <i>Modern Genetics</i> , Benjamin/Cummings Pub. Co., Menlo Park, CA, 45-48 (1980).
	C2	Barbacci et al., "The structural basis for the specificity of epidermal growth factor and heregulin binding," <i>Journal of Biological Chemistry</i> (published erratum appears in <i>J. Biol. Chem.</i> Nov. 24, 1995; 270(47):28494) 270(16):9585-9589 (Apr. 21, 1995).
	C3	Bass, et al., "Hormone Phage: An Enrichment Method for Variant Proteins with Altered Binding Properties," <i>Proteins: Structure, Function, and Genetics</i> 8(4):309-314 (1990).

EXAMINER <i>Donald P. J. Smith</i>	DATE CONSIDERED <i>5/17/2005</i>
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(Use several sheets if necessary)	10/082747	
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FOREIGN PATENT DOCUMENTS

EXAM'R INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	Subclass	TRANSLATN	
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DS	B5	WO 92/20798	11/26/92	PCT				
	B6	WO 93/22424	11/11/93	PCT				
	B7	WO 94/00140	1/6/94	PCT				
	B8	WO 94/04560	3/3/94	PCT				

OTHER ART (Include Author, Title, Date, Pertinent Pages, etc.)

DS	C4	Brookes, "Assay and isolation of glial growth factor from the bovine pituitary," <i>Methods in Enzymology</i> 147:217-225 (1987).
	C5	Brookes et al., "Glial growth factor-like activity in Schwann Cell tumors," <i>Annals of Neurology</i> 20:3:317-322 (1986).
	C6	Brookes et al., "Purification and preliminary characterization of a glial growth factor from the bovine pituitary," <i>Journal of Biological Chemistry</i> 255(18):8374-8377 (1980).

EXAMINER	<i>David C. Smith</i>	DATE CONSIDERED	5/17/2005
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	14918-720 CON2	094101,544
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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT	APPLICANT	
	Marcus D. Ballinger et al.	
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	July 17, 1998 Feb. 22, 2002	1646

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DG	B9	WO 94/08007	4/14/94	PCT				
	B10	WO 94/26298	11/24/94	PCT				
	B11	WO 94/28133	12/8/94	PCT				
	B12	WO 95/32724	12/7/95	PCT				

OTHER ART (Include Author, Title, Date, Pertinent Pages, etc.)

DG	C7	Burden et al., "Neuregulins and their receptors: a versatile signaling module in organogenesis and oncogenesis," <i>Neuron</i> 18(6):847-855 (June 1997).
	C8	Burgess et al., "Biosynthetic processing of neu differentiation factor. Glycosylation, trafficking, and regulated cleavage from the cell surface," <i>Journal of Biological Chemistry</i> 270(32):19188-19196 (1995).
	C9	Callard et al., <i>The Cytokine Factsbook</i> , Academic Press, London, p. 31 (1994).

EXAMINER	<i>Donald C. Smith</i>	DATE CONSIDERED	5/12/2005
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DS	B13	WO 96/31599	10/10/96	PCT				
DS	B14	WO 96/36720	11/21/96	PCT				
	B15							
	B16							

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DS	C10	Carraway et al., "The erbB3 gene product is a receptor for heregulin," <i>Journal of Biological Chemistry</i> 269(19):14303-14306 (1994).
	C11	Carraway, et al., "Neuregulin-2, a new ligand of ErbB3/ErbB4-receptor tyrosine kinases," <i>Nature</i> 387:512-516 (1997).
	C12	Chang, et al., "Ligands for ErbB-family receptors encoded by a neuregulin-like gene," <i>Nature</i> 387:509-512 (1997).

EXAMINER	<i>David C. Donohue</i>	DATE CONSIDERED	3/17/2005
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FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 14918-720-CON2 402E-17611245	SERIAL NO. 09/101,544 Herewith 10/082747
	APPLICANT Marcus D. Ballinger et al.	
	FILING DATE July 17, 1998 Feb. 22, 2002	GROUP ART UNIT 1646

REFERENCE DESIGNATION

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EXAM'R INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	Subclass	TRANSLATN	
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	B17							
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OTHER ART (Include Author, Title, Date, Pertinent Pages, etc.)

DG	C13	Chau, B. N. et al., "The EGF Receptor Binding of Recombinant Heregulin β 1/EGF Hybrids is Blocked by Heregulin Residue Glutamate 195," <i>Biochemical & Biophysical Research Communications</i> 229:882-886 (1996).
J	C14	Chu et al., "Regulation of the acetylcholine receptor & subunit gene by recombinant ARIA: an in vitro model for transynaptic gene regulation," <i>Neuron</i> 14(2):329-339 (Feb. 1995).
J	E15	Corfas & Fischbach, "The number of Na ⁺ channels in cultured chick muscle is increased by ARIA, an in vitro model for acetylcholine receptor-inducing activity," <i>J. Neuroscience</i> 13(5):2118-2125 (1993).

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14918-720-CON2
402E-476112 US

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EXAM'R INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	Subclass	TRANSLTN	
							yes	no
	B21							
	B22							
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OTHER ART (Include Author, Title, Date, Pertinent Pages, etc.)

DE	C16	Cunningham et al., "High Resolution Epitope Mapping of hGH-Receptor Interactions by Alanine-Scanning Mutagenesis," <i>Science</i> 244:1081-1085 (June 1989).
DE	C17	Cunningham et al., "Production of an Atrial Natriuretic Peptide Variant that is Specific for Type A Receptor," <i>EMBO Journal</i> 13(11):2508-2515 (1994).
DE	C18	Cwirla et al., "Peptides on phage: a vast library of peptides for identifying ligands," <i>Proc. Natl. Acad. Sci. USA</i> 87(16):6378-6382 (1990).

EXAMINER

Daniel C. Smith

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5/17/2005

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	B25							
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OTHER ART (Include Author, Title, Date, Pertinent Pages, etc.)

	C19	Danilenko et al., "Neu differentiation factor (NDF) accelerates epidermal migration and differentiation in excisional wounds," <i>FASEB</i> 8:4-5: abstract no. 3101, p. A535 (1994).
	C20	Falls et al., "ARIA, a Protein That Stimulates Acetylcholine Receptor Synthesis, is a Member of the Neu Ligand Family," <i>Cell</i> 72:801-815 (1993).
	C21	Friess et al., "Enhanced erbB-3 Expression in Human Pancreatic Cancer Correlates With Tumor Progression," <i>Clinical Cancer Research</i> 1:1413-1420 (1995).

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							yes	no
	B29							
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OTHER ART (Include Author, Title, Date, Pertinent Pages, etc.)

C22	Gorman et al., "Transient Production of Proteins Using an Adenovirus Transformed Cell Line," <i>DNA Prot. Eng. Tech.</i> 2(1):3-10 (1990).
C23	Ho, W., et al., "Sensory and Motor Neuron-derived Factor," <i>Journal of Biological Chemistry</i> 270(24):14523-14532 (1995).
C24	Holmes et al., "Identification of heregulin, a specific activator of p185 ^{erbB} ," <i>Science</i> 256:1205-1210 (1992).

EXAMINER <i>Daniel J. Jones</i>	DATE CONSIDERED <i>5/12/2005</i>
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EXAM'R INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	Subclass	TRANSLATN	
							yes	no
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OTHER ART (Include Author, Title, Date, Pertinent Pages, etc.)

	C25	Jacobsen et al., "High-Resolution Solution Structure of the EGF-like Domain of Heregulin-α" <i>Biochemistry</i> 35(11):3402-3417 (1996).
	C26	King et al., "Ligand-independent tyrosine phosphorylation of EGF receptor and the erbB-2/neu proto-oncogene product is induced by hyperosmotic shock" <i>Oncogene</i> 4(1):13-18 (1989).
	C27	Kokai et al., "Synergistic Interaction of p185c-neu and the EGF Receptor Leads to Transformation of Rodent Fibroblasts," <i>Cell</i> 58:287-292 (1989).

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							yes	no
	B37							
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OTHER ART (Include Author, Title, Date, Pertinent Pages, etc.)

	C28	Kraus et al., "Demonstration of ligand-dependent signaling by the erbB-3 tyrosine kinase and its constitutive activation in human breast tumor cells," <i>Proc. Natl. Acad. Sci.</i> 90:2900-2904 (1993).
	C29	Kraus et al., "Isolation and characterization of ERBB3, a third member of the ERBB/epidermal growth factor receptor family: Evidence for overexpression in a subset of human mammary tumors," <i>Proc. Natl. Acad. Sci. USA</i> 86:9193-9197 (1989).
	C30	Kunkel et al., "Rapid and Efficient Site-Specific Mutagenesis Without Phenotypic Selection," <i>Methods in Enzymology</i> 154:367-382 (1987).

EXAMINER <i>Donald C. Gault</i>	DATE CONSIDERED <i>8/17/2003</i>
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APPLICANT Marcus D. Ballinger et al.		
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							yes	no
	B41							
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OTHER ART (Include Author, Title, Date, Pertinent Pages, etc.)

	C31	Lee et al., "Assignment of Heregulin (HGL) to Human Chromosome 8p22-p11 by PCR Analysis of Somatic Cell Hybrid DNA," <i>Genomics</i> 16:790-791 (1993).
	C32	Lemke & Brookes, "Identification and purification of glial growth factor," <i>J. Neurosci.</i> 4(1):75-83 (1984).
	C33	Lemoine et al., "The erbB-3 gene in human pancreatic cancer," <i>J. Pathol.</i> 168:269-273 (1992).

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							yes	no
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OTHER ART (Include Author, Title, Date, Pertinent Pages, etc.)

	C34	Lemoine et al., "Expression of the ERBB3 gene product in breast cancer," <i>Br. J. Cancer</i> 66:1116-1121 (1992).
	C35	Levi et al., "The Functional Characteristics of Schwann Cells Cultured from Human Peripheral Nerve after Transplantation into a Gap within the Rat Schiatic Nerve," <i>J. Neuroscience</i> 14(3):1309-1319 (1994).
	C36	Lewis et al., "Growth Regulation of Human Breast and Ovarian Tumor Cells by Heregulin: Evidence for the Requirement of ErbB2 as a Critical Component in Mediating Heregulin Responsiveness," <i>Cancer Research</i> 56:1457-1465 (1996).

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C37	Li et al., "Identification of Gas6 as a Growth Factor for Human Schwann Cells," <i>Journal of Neuroscience</i> 16(6):2012-2019 (1996).
C38	Lowman et al., "Selecting High-Affinity Binding Proteins by Monovalent Phage Display," <i>Biochemistry</i> 30:10832-10838 (1991).
C39	Lu et al., "Post-translational processing of Membrane-associated <i>neu</i> Differentiation Factor Proisoforms Expressed in Mammalian Cells," <i>Journal of Biological Chemistry</i> 270(9):4775-4783 (1995).

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Dg 1	C40	Marchionni et al., "Glial growth factors are alternatively spliced erbB2 ligands expressed in the nervous system," <i>Nature</i> 362:312-318 (1993).
	C41	Meyer & Birchmeier, "Distinct isoforms of neuregulin are expressed in mesenchymal and neuronal cells during mouse development," <i>Proc. Natl. Acad. Sci.</i> 91:1064-1068 (1994).
	C42	Nagata et al., "Solution structure of the epidermal growth factor-like domain of heregulin- α , a ligand for p180 ^{erbB-4} ," <i>EMBO J.</i> 13(15):3517-3523 (1994).

EXAMINER <i>Daniel C. Hunsell</i>	DATE CONSIDERED <i>5/19/2005</i>
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant(s).	

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO.	SERIAL NO.
	14918-720 CON2	09/101,544
	402E-4761265	Herewith
		10/082747
APPLICANT		
Marcus D. Ballinger et al.		
FILING DATE		GROUP ART UNIT
July 17, 1998 Feb. 22, 2002		1646

REFERENCE DESIGNATION

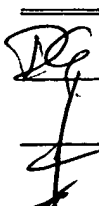
U.S. PATENT DOCUMENTS

EXAM'R INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	Subclass	Filing Date If Appropriate
	A141						
	A142						
	A143						
	A144						
	A145						
	A146						
	A147						
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	A149						
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FOREIGN PATENT DOCUMENTS

EXAM'R INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	Subclass	TRANSLTN	
							yes	no
	B57							
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	B60							

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	C43	Parmley et al., "Antibody-selectable filamentous fd phage vectors: affinity purification of target genes," <i>Gene</i> 73:305-318 (1988).
	C44	Peles et al., "Cell-type specific interaction of Neu differentiation factor (NDF/hergulin) with Neu/HER-2 suggests complex ligand-receptor relationships," <i>EMBO Journal</i> 12(3):961-971 (1993).
	C45	Peles et al., "Isolation of the Neu/HER-2 Stimulatory Ligand: a 44 Kd Glycoprotein That Induces Differentiation of Mammary Tumor Cells," <i>Cell</i> 69(1):205-216 (1992).

EXAMINER

DATE CONSIDERED

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FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S) INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 14918-720 CON2- 402E-47611245	SERIAL NO. 09/101,544 Herewith 10/082747
	APPLICANT Marcus D. Ballinger et al.	
	FILING DATE July 17, 1998 Feb. 22, 2002	GROUP ART UNIT 1646

REFERENCE DESIGNATION

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EXAM'R INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	Subclass	Filing Date If Appropriate
		A151					
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		A158					
		A159					
		A160					

FOREIGN PATENT DOCUMENTS

EXAM'R INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	Subclass	TRANSLATN	
							yes	no
		B61						
		B62						
		B63						
		B64						

OTHER ART (Include Author, Title, Date, Pertinent Pages, etc.)

	C46	Pinkas-Kramarski et al., "Brain neurons and glial cells express Neu differentiation factor/hereregulin: A survival factor for astrocytes," <i>Proc. Natl. Acad. Sci. USA</i> 91:9387-9391 (1994).
	C47	Plowman et al., "Heregulin induces tyrosine phosphorylation of HER4/p180 ^{erbB4} ," <i>Nature</i> (Letters to Nature) 366:473-475 (Dec. 2, 1993).
	C48	Plowman et al., "Ligand-specific activation of HER4/p180 ^{erbB4} , a fourth member of the epidermal growth factor receptor family," <i>Proc. Natl. Acad. Sci. USA</i> 90:1746-1750 (1993).

EXAMINER <i>Daniel C. Smith</i>	DATE CONSIDERED <i>8/17/2005</i>
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant(s).	

FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT(S)' INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

14918-720-CON2
402E-47611245

SERIAL NO.

09401,544
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10/082747APPLICANT
Marcus D. Ballinger et al.FILING DATE
July 17, 1998 Feb. 22, 2001GROUP ART UNIT
1646.

REFERENCE DESIGNATION

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EXAM'R INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	Subclass	Filing Date If Appropriate
	A161						
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FOREIGN PATENT DOCUMENTS

EXAM'R INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	Subclass	TRANSLATN	
							yes	no
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C49	Poller et al., "Production and characterization of a polyclonal antibody to the c-erbB-3 protein: examination of c-erbB-3 protein expression in adenocarcinomas," <i>J. Pathol.</i> 168(3):275-280 (1992).
C50	Puddicombe et al., "The Significance of Valine 33 as a Ligand-specific Epitope of Transforming Growth Factor α " <i>Journal of Biological Chemistry</i> 271(26):15367-15372 (1996).
C51	Rajkumar et al., "Expression of the c-erbB-3 protein in gastrointestinal tract tumors determined by monoclonal antibody RTJ1," Published erratum appears in <i>J. Pathol.</i> 171(2):154 (1993).

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant(s).

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO.		SERIAL NO.
	14918-720 CON2		09/101,544
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APPLICANT			
Marcus D. Ballinger et al.			
FILING DATE		GROUP ART UNIT	
July 17, 1998 Feb 22, 2002		1646.	

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EXAMR INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	Subclass	Filing Date If Appropriate
	A171						
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FOREIGN PATENT DOCUMENTS

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DS	C52	Sadick et al., "Analysis of Heregulin-Induced ErbB2 Phosphorylation with a High-Throughput Kinase Receptor Activation Enzyme-Linked Immunosorbant Assay," <i>Analytical Biochemistry</i> 235:207-214 (1996).
	C53	Sambrook et al., "Small-scale Preparations of Single-stranded Bacteriophage M13 DNA," <i>Molecular Cloning: A Laboratory Manual</i> , 2nd ed., New York: Cold Spring Harbor Laboratory Press, 1:4.29-4.30 (1989).
	C54	Sanger et al., "DNA Sequencing with Chain-terminating Inhibitors," <i>Proc. Natl. Acad. Sci. USA</i> 74(12):5463-5467 (1977).

EXAMINER

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FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S) INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 14918-720 CON2 402E-47611245	SERIAL NO. 09/101,544- Herewith 10/082747
	APPLICANT Marcus D. Ballinger et al.	
	FILING DATE July 17, 1998 Feb. 22, 2003	GROUP ART UNIT 1646

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAM'R INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	Subclass	Filing Date If Appropriate
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DG J	C58	Slamon et al., "Human Breast Cancer: Correlation of Relapse and Survival with Amplification of the HER-2/neu Oncogene," <i>Science</i> 235:177-182 (1987).
	C59	Slamon et al., "Studies of the HER-2/neu Proto-oncogene in Human Breast and Ovarian Cancer," <i>Science</i> 244:707-712 (1989).
	C60	Sliwkowski et al., "Coexpression of erbB2 and erbB3 Proteins Reconstitutes a High Affinity Receptor for Heregulin," <i>Journal of Biological Chemistry</i> 269(20):14661-14665 (1994).

EXAMINER <i>Samuel D. Lander</i>	DATE CONSIDERED 5/12/2005
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant(s).	

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 14918-720 CON2 462E-47611245	SERIAL NO. 09/101,544 Herewith 10/082747
	APPLICANT Marcus D. Ballinger et al.	
	FILING DATE July 17, 1998 Feb. 22, 2002	GROUP ART UNIT 1646

REFERENCE DESIGNATION

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EXAM'R INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	Subclass	Filing Date If Appropriate
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DS	C55	Sanidas et al., "Expression of the c-erbB-3 gene product in gastric cancer," <i>Int. J. Cancer</i> 54:935-940 (1993).
I	C56	Scott et al., "Searching for Peptide Ligands with an Epitope Library," <i>Science</i> 249:386-390 (1990).
	C57	Sklar et al., "A Novel Growth Factor for Muscle-rhGGF2," <i>J. Cell Biochem.</i> (abstract no.-W462) p. 540 (1994).

EXAMINER <i>David C. Hines</i>	DATE CONSIDERED <i>5/17/2003</i>
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant(s).	

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 14918-720 CON2 402E-476112US	SERIAL NO. 097101,544- Herew: 44
	APPLICANT Marcus D. Ballinger et al.	
	FILING DATE July 17, 1998 Feb. 22, 2002	GROUP ART UNIT 1646

REFERENCE DESIGNATION

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EXAMR INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	Subclass	Filing Date If Appropriate
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EXAMR INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	Subclass	TRANSLATN	
							yes	no
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C61	Smith, "Filamentous Fusion Phage: Novel Expression Vectors That Display Cloned Antigens on the Virion Surface," <i>Science</i> 228 (4705):1315-1317 (1985).
C62	Souriau et al., "A simple luciferase assay for signal transduction activity detection of epidermal growth factor displayed on phage," <i>Nucleic Acids Research</i> 25(8):1585-1590 (1997).
C63	Stern et al., "EGF-stimulated tyrosine phosphorylation of p185 ^{neu} : a potential model for receptor interactions," <i>EMBO Journal</i> 7(4):995-1001 (1988).

EXAMINER <i>David R. Gault</i>	DATE CONSIDERED <i>5/17/2002</i>
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant(s).	

FORM PTO-1449 (Modified)	ATTY. DOCKET NO.	SERIAL NO.
	14918-720 CON2	094101,544
	402E-476/12 u.s.	Herewith
	APPLICANT Marcus D. Ballinger et al.	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT	FILING DATE	GROUP ART UNIT
	July 17, 1998 Feb 22, 2002	1646
(Use several sheets if necessary)		

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAM'R INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	Subclass	Filing Date If Appropriate
	A211						
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FOREIGN PATENT DOCUMENTS

EXAM'R INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	Subclass	TRANSLATN	
							yes	no
	B85							
	B86							
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18	C64	Tzahar, E., et al., "Bivalence of of EGF-like ligands drives the ErbB signalling network," <i>EMBO Journal</i> 16(16):4938-4950 (1997).
2	C65	Wada et al., "Intermolecular Association of the p185 ^{neu} Protein and EGF Receptor Modulates EGF Receptor Function," <i>Cell</i> 61:1339-1347 (1990).
	C66	Wen et al., "Neu Differentiation Factor: A Transmembrane Glycoprotein Containing an EGF Domain and an Immunoglobulin Homology Unit," <i>Cell</i> 69(3):559-572 (1992).

EXAMINER

DATE CONSIDERED

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	14918-720 CON2	091101,544
	402E-476112US	Hercowitz
	APPLICANT Marcus D. Ballinger et al.	
FILING DATE July 17, 1998 Feb 22, 2002		GROUP ART UNIT 1646

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMR INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	Subclass	Filing Date If Appropriate
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FOREIGN PATENT DOCUMENTS

EXAMR INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	Subclass	TRANSLTN	
							yes	no
	B89							
	B90							
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OTHER ART (Include Author, Title, Date, Pertinent Pages, etc.)

	C67	Wen et al., "Structural and Functional Aspects of the Multiplicity of Neu Differentiation Factors," <i>Molecular & Cellular Biology</i> 14(3):1909-1919 (1994).
	C68	Zhang et al., "Neuregulin-3 (NRG3): A novel neural tissue-enriched protein that binds and activates ErbB4," <i>Proc. Natl. Acad. Sci. USA</i> 94:9562-9567 (1997).
	C69	Zrihan-Licht et al., "Association of Csk-homologous Kinase (CHK) (formerly MATK) with HER-2/ErbB-2 in Breast Cancer Cells," <i>Journal of Biological Chemistry</i> 272(3):1856-1863 (1997).

EXAMINER David C. Smith DATE CONSIDERED 9/17/2005
 EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant(s).